Event & Self-Triggered Approximate Leader-Follower Consensus with Resilience to Byzantine Adversaries















Intermittent Measurements

• Intermittency can result in time varying topologies



- Switched systems theory provides a framework for analyzing the stability and performance of the resulting switched/hybrid dynamic system
- Dynamics matter for these problems because of the need to develop predictors
 - Frameworks from Nonsmooth Analysis provide toolsets to allow switching with uncertainty
 - Network specific challenges: connectivity, fixed or time-varying topology, directed/undirected, signed/unsigned, resiliency













Example: Distributed Event-Trigger



Goal: Agents converge to the convex hull spanned by the leaders



Dynamics:

$$\dot{x}_i = Ax_i, \qquad i \in \mathcal{V}_{\mathcal{L}} \\ \dot{x}_i = Ax_i + Bu_i, \ i \in \mathcal{V}_{\mathcal{F}}$$

Estimate dynamics:

$$\dot{\hat{x}}_{j}(t) = A\hat{x}_{j}(t), \ j \in \{i\} \cup \mathcal{N}_{\mathcal{F}i}, \ t \in \left[t_{k}^{j}, t_{k+1}^{j}\right) \implies \text{No Comm.}$$
$$\hat{x}_{j}\left(t_{k}^{j}\right) = x_{j}\left(t_{k}^{j}\right) \implies \text{Comm.}$$











Controller Design



Controller:

$$u_{i} = K\hat{z}_{i}$$
$$\hat{z}_{i} = \sum_{j \in \mathcal{V}_{\mathcal{F}}} a_{ij} \left(\hat{x}_{j} - \hat{x}_{i} \right) + \sum_{j \in \mathcal{V}_{\mathcal{L}}} a_{ij} \left(x_{j} - \hat{x}_{i} \right), \ i \in \mathcal{V}_{\mathcal{F}}$$
$$\text{where } K = B^{T}P$$
$$P : PA + A^{T}P - 2\delta_{\min}PBB^{T}P + \delta_{\min}I_{n} < 0$$

Estimate Error:
$$e_i(t) = \hat{x}_i(t) - x_i(t), \ i \in \mathcal{V}_F$$

Closed-loop
$$\varepsilon_i = \hat{z}_i - \sum_{j \in \mathcal{V}_F} a_{ij} (e_i - e_j) - \sum_{j \in \mathcal{V}_F} a_{ij} e_i, \quad i \in \mathcal{V}_F$$

unknown $j \in \mathcal{V}_F$





Duke









When to Communicate?



T. H. Cheng, Z. Kan, J. R. Klotz, J. M. Shea, W. E. Dixon, "Event-Triggered Control of Multi-Agent Systems for Fixed and Time-Varying Network Topologies," **IEEE Trans. Autom. Control**, Vol. 62(10), pp. 5365-5371, 2017.

Nonsmooth Analysis



Nonlinear Analysis

$$V = \varepsilon^{T} \left(I_{F} \otimes P \right) \varepsilon$$

$$\dot{v} \leq -\sum_{i \in \mathcal{V}_{\mathcal{F}}} \left[\left(\delta_{1} - \frac{k_{2}}{\beta} \right) \|\hat{z}_{i}\|^{2} - (k_{1} + k_{2}\beta) \|e_{i}\|^{2} \right] - \delta_{2} \varepsilon^{T} \varepsilon$$

$$\dot{V} \leq -\delta_{2} \varepsilon^{T} \varepsilon$$

$$\|\varepsilon (t)\| \leq \|\varepsilon (t_{0})\| e^{-\gamma t}$$

$$x_{\mathcal{F}} \rightarrow - \left(\mathcal{L}_{\mathcal{F}}^{-1} \mathcal{L}_{\mathcal{L}} \otimes I_{n} \right) x_{\mathcal{L}} \quad \text{as} \quad t \to \infty$$

Trigger Condition

$$c_i = \sqrt{\frac{\eta_i \left(\delta_1 - \frac{k_2}{\beta}\right)}{(k_1 + k_2\beta)}}$$



Minimum Interval Event Time

$$\tau \ge \frac{1}{\max\left\{\bar{c}_0, \ \bar{c}_1\right\}} \ln\left(\frac{1}{F} \sqrt{\frac{\eta_h\left(\delta_1 - \frac{k_2}{\beta}\right)}{(k_1 + k_2\beta)}} + 1\right)$$













Simulation



On-going Efforts



Event-Triggered Control

- Opportunistically select when to communicate (dynamics-based trigger condition)
- Require continuous listening (expensive)

Self-Triggered Control

- Eliminates continuous listening (least expensive?)
- Predict (uncertainty?) when to send/listen (asynchrony?)

Byzantine adversary

- Categorize? False information (How to know/detect?)
- Impart undesirable influence on network
 - Partition, wrong objective, data exfiltration

Open Questions

- How to model?
 - Signed graphs? Adversary classification?
- Network characteristics?
 - Power boost? Connectivity? Asynchrony?
- Game Theory Methods?
- Resiliency? Protecting Information?

















Example: Self-Trigger LF Consensus

- Undirected network of followers $\mathcal{G} \triangleq (\mathcal{V}, \mathcal{E}, \mathcal{A})$
- Perform self-triggered approximate leaderfollower consensus

 $\limsup_{t \to \infty} \|e_i(t)\| \le \varepsilon \quad \forall i \in \mathcal{V}$ $e_{1,i}(t) \triangleq x_i(t) - x_0(t)$

• Byzantine adversary detection error

 $e_{2,j}(t) = \hat{x}_j(t) - x_j(t)$

- LTI dynamics of followers $\dot{x}_{i}(t) = Ax_{i}(t) + Bu_{i}(t)$
- LTI dynamics of the leader $\dot{x}_0(t) = Ax_0(t) + Bu_0(t)$

NIVERSITY of

LTI known dynamics facilitate Byzantine agent detection.

How to extend to uncertain nonlinear dynamics?















Byzantine Detection

Check if agent was cooperative during previous times $\hat{x}_{j}(t) = e^{A\left(t-t_{k-1}^{i}\right)} \hat{x}_{j}\left(t_{k-1}^{i}\right) \qquad \qquad x_{j}\left(t_{s}^{i}\right) \quad \forall s \in \{0, 1, ..., k-1\}$

Analyze the maximum growth rate for $e_{2,j}(t) = \hat{x}_j(t) - x_j(t)$

$$V_{2,i}(e_{2,i}(t)) \triangleq \frac{1}{2} e_{2,i}^{T}(t) e_{2,i}(t) \\ V_{2,i}(e_{2,i}(t)) \leq \left(\frac{\sqrt{2}\xi_{i}\left(e^{\lambda_{max}(A)\left(t-t_{k}^{i}\right)-1\right)}{2}\right)^{2} \qquad \|e_{2,j}(t)\| \leq \xi_{j}\left(e^{\lambda_{max}(A)\left(t-t_{k-1}^{i}\right)}-1\right)t \in \left[t_{k-1}^{i}, t_{k}^{i}\right) \\ \text{Detection Condition} \\ \Xi_{j}(t_{k}^{i}) = \lim_{t \to t_{k}^{i}}\left(\|e_{2,j}(t)\| - \xi_{j}\left(e^{\lambda_{max}(A)\left(t-t_{k-1}^{i}\right)}-1\right)\right)$$

Agents alter the network topology due to the presence of the Byzantine agentsFixed, Balanced, and Undirected GraphTime-Varying, Unbalanced, and Directed Graph





Controller/Observer

Distributed controller

$$u_{i}(t) = K\widetilde{z}_{i}(t) + K\left(\hat{x}_{i}(t) - x_{i}(t)\right)$$

$$\widetilde{z}_{i}(t) = \sum_{j \in \mathcal{N}_{i}(\mathcal{G})} \mu_{ij} a_{ij}\left(\hat{x}_{j}(t) - \hat{x}_{i}(t)\right) + d_{i}\left(x_{0}(t) - \hat{x}_{i}(t)\right)$$

$$\mu_{ij} = \begin{cases} 1, & j \in \mathcal{C}_{i}\left(t_{k}^{i}\right)\\ 0, & j \in \mathcal{B}_{i}\left(t_{k}^{i}\right) \end{cases}$$
Connectivity parameter

Neighbor state estimator

$$\dot{\hat{x}}_{j}(t) = A\hat{x}_{j}(t), \ t \in \left[t_{k}^{i}, t_{k+1}^{i}\right), \ j \in \mathcal{N}_{i}(\mathcal{G}) \cup \{i\}$$
$$\hat{x}_{j}(t) = x_{j}\left(t_{k}^{i}\right)$$

Nonsmooth Stability Analysis

 $V_{1}(e_{1}(t)) \triangleq e_{1}^{T}(t)(I_{N} \otimes P)e_{1}(t)$ $\dot{V}_{1}(g(t)) \stackrel{a.e.}{\in} \dot{\tilde{V}}_{1}(g(t))$ $\vdots \quad \text{Triggered communication}$ Triggered communication $\tilde{V}_{1}(g(t)) \subseteq \left\{ e_{1}^{T}(t)(I_{N} \otimes (A^{T}P + PA))e_{1}(t) \right\} - \left\{ e_{1}^{T}(t)(H_{\sigma(t)} \otimes 2PBB^{T}P)e_{1}(t) \right\} \\ + \left\{ e_{2}^{T}(t)((I_{N} - H_{\sigma(t)}) \otimes 2PBB^{T}P)e_{1}(t) \right\} - \left\{ \left(1_{N}^{T} \otimes 2u_{0}^{T}(t)B^{T}P\right)e_{1}(t) \right\} \\ \dot{V}_{1}(e_{1}(t)) \stackrel{a.e.}{\leq} -\phi_{1} \|e_{1}(t)\|^{2} + \bar{\delta}$













Reputation-Based Event-Triggered Formation Control and Leader Tracking with Resilience to Byzantine Adversaries

Submitted ACC 2020

















Autonomous Escort: Leader-Follower Model



Goal: Perform formation control and leader tracking

.....with controllers that are

- Distributed
- Event-Triggered
- Resilient to Byzantine adversaries















Common threats for a mobile network

- Denial-of-Service (DoS)
- Time-Delay Switch (TDS)
- False Data Injection (FDI)

Byzantine attack: a more general threat where communication can be delayed, corrupted, and/or interrupted arbitrarily

Current Assumptions:

- Only followers can become Byzantine
- No teamwork between Byzantine agents



• **Type I** - Physically remains within network; FDI



Type II - Abandons network















Preliminary Result (TAC 2017)

Event-Triggered Consensus: Known Linear Dynamics





Preliminary Result (TAC 2017)

Type I Byzantine Adversary

Type II Byzantine Adversary







Preliminary Result (TAC 2017)

Type I Byzantine Adversary (Follower 5)

Type II Byzantine Adversary (Follower 4)



















Resilient Method with Type I & Type II Byzantine Agents



Kickoff Result (CDC 2019)

Controller

$$u_{i}(t) \triangleq K\tilde{z}_{i}(t) + Ke_{2,i}(t)$$

$$\tilde{z}_{i}(t) \triangleq \sum_{j \in \mathcal{N}_{i}(t)} \mu_{ij}(t) a_{ij}(\hat{x}_{j}(t) - \hat{x}_{i}(t)) + d_{i}(x_{0}(t) - \hat{x}_{i}(t))$$

$$\mu_{ij}(t) \triangleq \begin{cases} 1, & j \in \mathcal{C}_{i}(t_{k}^{i}) \\ 0, & j \in \mathcal{B}_{i}(t_{k}^{i}) \end{cases}$$

Detector

$$\begin{aligned} &\Xi_{j}\left(t_{k}^{i}\right) \triangleq \lim_{t \to t_{k}^{i}} \left(\|e_{2,j}\left(t\right)\| - \Psi_{j}\left(t - t_{k-1}^{i}\right)\right) \quad j \in \mathcal{N}_{i}\left(t_{k}^{i}\right) \quad t \in \left[t_{k}^{i}, t_{k+1}^{i}\right) \\ &\|e_{2,j}\left(t\right)\| = \left\|e^{A\left(t - t_{k-1}^{i}\right)}x_{j}\left(t_{k-1}^{i}\right) - x_{j}\left(t\right)\right\| \\ &\Psi_{j}\left(t - t_{k-1}^{i}\right) \triangleq \frac{S_{max}(B)M_{j}}{S_{max}(A)}\left(e^{S_{max}(A)\left(t - t_{k-1}^{j}\right)} - 1\right) \quad \|u_{j}\left(t\right)\| \leq M_{j} \in \mathbb{R}_{>0} \end{aligned}$$

Limitations of Detector

- Exact model knowledge
- Bound on neighbor's control
- No re-integration

Problem Formulation

Problem Formulation

- Consider a heterogeneous multi-agent system of *N* follower agents and a single leader
- Influence between followers: Weight Undirected Network Topology

$$\mathcal{G} \triangleq (\mathcal{V}, \mathcal{E}, \mathcal{A})$$
$$\mathcal{V} \triangleq \{1, 2, ..., N\}$$
$$\mathcal{E} \subseteq \mathcal{V} \times \mathcal{V}$$
$$\mathcal{A} \triangleq [a_{ij}] \in \mathbb{R}_{\geq 0}^{N \times N}$$

• Dynamics of agent *i* (control affine)

$$\dot{x}_{i}(t) \triangleq f_{i}(x_{i}(t)) + g_{i}(x_{i}(t)) u_{i}(t) + d_{i}(t)$$

 $x_i = \text{Position of agent } i$ $x_i : [0, \infty) \to \mathbb{R}^m$ $u_i = \text{Control of agent } i$ $u_i : [0, \infty) \to \mathbb{R}^n$

Uncertain drift dynamics of agent *i*: $f_i : \mathbb{R}^m \to \mathbb{R}^m$

Disturbance acting on agent *i*: $d_i : [0, \infty) \to \mathbb{R}^m$

Known control effectiveness of agent *i*: $g_i : \mathbb{R}^m \to \mathbb{R}^{m \times n}$

Problem Formulation

Objective: Design a controller for the followers

- Formation control and leader tracking (FCLT)
- Distributed & Event-Triggered
- Resilient to Byzantine adversaries

Assumptions

- The uncertain drift dynamics are continuously differentiable and bounded given a bounded argument
- The control effectiveness is full-row rank and bounded given a bounded argument
- The disturbance is bounded
- All followers are initially cooperative
- The leader is cooperative for all time
- All agents can measure their state
- The control and state of the leader are bounded
- The uncertain drift dynamics are linear in the uncertain parameters
- At least one state measurement is accurate (used in trust model)
- The graph $\mathcal{G}_{C}(t)$ is connected for all time

Trust Model

Idea: Make edge weights a function of trust Idea: Multi-point authentication

Given r state measurements from neighbor $j \in \mathcal{N}_i(t_k^i)$

$$\Psi_{ij}\left(t_{k}^{i}\right) \triangleq \sum_{p=1}^{r-1} \sum_{q>p}^{r} \left\| x_{j,p}\left(t_{k}^{i}\right) - x_{j,q}\left(t_{k}^{i}\right) \right\|$$

$$x_{j,1}(t_k^i)$$
 = communicated state
 $x_{j,2}(t_k^i)$ = sensed state

Let $S_i \triangleq \left\{ t_k^i \in \mathbb{R}_{\geq 0} : t - t_{\text{reset}}^i \le t_k^i < t \right\}$

$$\tau_{ij}(t) \triangleq \begin{cases} 1, & |S_i| = 0\\ \frac{1}{|S_i|} \sum_{t_k^i \in S_i} e^{-s_1 \Psi_{ij}\left(t_k^i\right)}, & |S_i| \neq 0 \end{cases}$$

Controls rate of change of trust

Reputation Model

Edge weight

$$a_{ij}(t) \triangleq \begin{cases} \frac{\zeta_{ij}(t_k^i)}{\zeta_{\max}}, & \frac{\zeta_{ij}(t_k^i)}{\zeta_{\max}} \ge \zeta_{\min} \land j \in \mathcal{N}_i(t) \\ 0, & \frac{\zeta_{ij}(t_k^i)}{\zeta_{\max}} < \zeta_{\min} \lor j \notin \mathcal{N}_i(t) \end{cases} \qquad \zeta_{\min} \in [0, 1]$$

Cooperative & Byzantine neighbor set

$$\mathcal{C}_{i}(t) \triangleq \{ j \in \mathcal{N}_{i}(t) : a_{ij}(t) \neq 0 \}$$
$$\mathcal{B}_{i}(t) \triangleq \mathcal{N}_{i}(t) \setminus \mathcal{C}_{i}(t)$$

Benefits

- No exact model knowledge needed
- No bounds on neighbor quantities needed
- Enables re-integration of rehabilitated agents

Assumption: The uncertain drift dynamics are linear in the uncertain parameters, i.e., $f_i(x_i(t)) = y_i(x_i(t)) \theta_i$

where $y_i : \mathbb{R}^m \to \mathbb{R}^{m \times q_i}$ is a measurable regressor matrix and $\theta_i \in \mathbb{R}^{q_i}$ is a column vector of bounded but unknown coefficients.

Follower *i* dynamics:

$$\dot{x}_{i}(t) = y_{i}(x_{i}(t)) \theta_{i} + g_{i}(x_{i}(t)) u_{i}(t) + d_{i}(t)$$

Estimate of uncertain parameters: $\hat{\theta}_i : [0, \infty) \to \mathbb{R}^{q_i}$

where $\tilde{\theta}_i : [0, \infty) \to \mathbb{R}^{q_i}$

$$\tilde{\theta}_{i}\left(t\right)\triangleq\theta_{i}-\hat{\theta}_{i}\left(t\right)$$

Controller/Observer

💷 UĽ SHNIH ĽKUZ

Controller, Observer, and Event-Trigger of Follower *i*:

$$u_{i}(t) \triangleq g_{i}^{+}(x_{i}(t)) (k_{1}z_{i}(t) + k_{2}e_{2,i}(t))$$

$$z_{i}(t) \triangleq \sum_{j \in \mathcal{N}_{i}(t)} a_{ij}(t) (\hat{x}_{j}(t) - \hat{x}_{i}(t) - v_{j} + v_{i}) + b_{i}(t) (v_{i} + x_{0}(t) - \hat{x}_{i}(t))$$
Positive only if connected to leader
$$\dot{x}_{j}(t) \triangleq y_{j}(\hat{x}_{j}(t)) \hat{\theta}_{j}(t), t \in [t_{k}^{i}, t_{k+1}^{i}) \qquad j \in \mathcal{N}_{i}(t) \cup \{i\}$$

$$\hat{x}_{j}(t_{k}^{i}) \triangleq x_{j,1}(t_{k}^{i})$$

$$\dot{\hat{\theta}}_{j}(t) \triangleq -\text{proj}(\Gamma_{j}^{-1}y_{j}^{T}(\hat{x}_{j}(t))e_{2,i}(t))$$
User-defined positive definite matrix
$$t_{k+1}^{i} \triangleq \inf\left\{t > t_{k}^{i} : \phi_{3} \|e_{2,i}(t)\|^{2} \ge \phi_{4} \|z_{i}(t)\|^{2} + \frac{\varepsilon}{N}\right\}$$
Positive parameters
$$\mathbf{VEVENTED}$$

$$\mathbf{VEVENTED}$$

$$\mathbf{VEVENTED}$$

The University of Texas at Austin

Agent-level FCLT error $e_{1,i}: [0,\infty) \to \mathbb{R}^m$ $e_{1,i}(t) \triangleq x_i(t) - x_0(t) - v_i$ Estimation error $e_{2,i}: [0,\infty) \to \mathbb{R}^m$ **Desired relative orientation** $e_{2,i}(t) \triangleq \hat{x}_i(t) - x_i(t)$ $\hat{x}_i: [0,\infty) \to \mathbb{R}^m$ is the estimate of $x_i(t)$ **Agent-level closed-loop error systems** $\dot{e}_{1,i}(t) = f_i(x_i(t)) + k_1 \sum_{j \in \mathcal{N}_i(t)} a_{ij}(t) (e_{2,j}(t) - e_{2,i}(t)) - k_1 b_i(t) e_{2,i} + k_1 \sum_{j \in \mathcal{N}_i(t)} a_{ij}(t) (e_{1,j}(t) - e_{1,i}(t))$ $-k_{1}b_{i}(t)e_{1,i}(t) + k_{2}e_{2,i}(t) + d_{i}(t) - \dot{x}_{0}(t)$

$$\dot{e}_{2,i}(t) = -y_i(\hat{x}_i(t))\tilde{\theta}_i(t) + (y_i(\hat{x}_i(t)) - y_i(x_i(t)))\theta_i - k_1 \sum_{j \in \mathcal{N}_i(t)} a_{ij}(t)(e_{2,j}(t) - e_{2,i}(t)) \\ -k_1 \sum_{j \in \mathcal{N}_i(t)} a_{ij}(t)(e_{1,j}(t) - e_{1,i}(t)) + k_1 b_i(t)e_{2,i}(t) + k_1 b_i(t)e_{1,i}(t) - k_2 e_{2,i}(t) - d_i(t)$$

Ensemble-level closed-loop error systems

$$\dot{E}_{1} = \tilde{N} + N_{d} - k_{1} \left(H(t) \otimes I_{m} \right) E_{2} - k_{1} \left(H(t) \otimes I_{m} \right) E_{1} + k_{2} E_{2}$$

$$\dot{E}_{2} = -Y\left(\hat{X}\right)\widetilde{\Theta} + \left(Y\left(\hat{X}\right) - Y\left(X\right)\right)\Theta - k_{2}E_{2} - D + k_{1}\left(H\left(t\right)\otimes I_{m}\right)E_{2} + k_{1}\left(H\left(t\right)\otimes I_{m}\right)E_{1}$$

Theorem 1:

The trust model, reputation model, edge weight policy, state observer, and controller ensure E_1 is globally uniformly ultimately bounded in the sense that $||E_1|| < \beta_1 + \beta_2 e^{-\beta_3 t}$

where $\beta_1, \ \beta_2, \ \beta_3 \in \mathbb{R}_{\geq 0}$ are known constants provided state feedback is available as dictated by the event-trigger and all assumptions are satisfied, including some sufficient gain conditions are satisfied

Sketch of Proof

$$V_{1}(W(t)) \triangleq \frac{1}{2}E_{1}^{T}E_{1} + \frac{1}{2}E_{2}^{T}E_{2} + \frac{1}{2}\widetilde{\Theta}^{T}\Gamma\widetilde{\Theta}$$

$$\frac{1}{2}\|E\|^{2} \leq V_{1}(W(t)) \leq \frac{1}{2}\|E\|^{2} + \frac{\lambda_{\max}(\Gamma)c_{6}}{2}$$

$$\dot{V}_{1}(W(t)) \stackrel{a.e.}{\leq} -\phi_{1}\|E_{1}\|^{2} -\phi_{2}\|E_{2}\|^{2} + \bar{\delta} + \sum_{i\in\mathcal{V}} \left(\phi_{3}\|e_{2,i}(t)\|^{2} - \phi_{4}\|z_{i}(t)\|^{2} - \frac{\varepsilon}{N}\right)$$

$$\dot{V}_{1}(W(t)) \stackrel{a.e.}{\leq} -\phi\left(\|E_{1}\|^{2} + \|E_{2}\|^{2}\right) + \bar{\delta}$$

$$\dot{V}_{1}(W(t)) \stackrel{a.e.}{\leq} -\phi2V_{1}(W(t)) + \phi\lambda_{\max}(\Gamma)c_{6} + \bar{\delta}$$

$$V_{1}(W(t)) \leq \frac{\beta_{1}^{2}}{2} + \frac{\beta_{2}^{2}}{2}e^{-2\beta_{3}t}$$

Desired formation

Compromised formation

uke

ve

Duke

