

Recent Advances in Safety, Optimization, Learning, and Control

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University of California

CoE Review @ UC Santa Cruz - May 30, 2024



Outline of Recent Results

1. Safety

- ▶ Safety Certificates
ACC23a, CDC23a, CDC23b, TAC (accepted) w/ Warren Dixon
- ▶ Reinforcement Learning
RLC 2024 w/ Zachary Bell poster here!

2. Optimization

- ▶ Dynamical systems approach
ACC23c, Optimization journal (almost ready)
Automatica 2023, ACC23d w/ Matt Hale
- ▶ Optimization with Computational Constraints
CPSWeek-IoT 24 Workshop

3. Motion Planning for Hybrid Systems

- ▶ RRT for feasibility and optimality
CDC22, CCTA22b, CDC23c, ADHS24 poster here!

4. Learning-based Hybrid Control

- ▶ Learning Lyapunov functions for hybrid systems
HSCC 2024 Carlos will present it next



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3. Motion Planning for Hybrid Systems

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New PhD student and postdoc arriving in Fall

Visiting Z. Bell at AFRL/RW in two weeks

CDC 2024 Pre-Conference Workshop Proposal on Hybrid Estimation

CDC 2024 Tutorial Session Proposal on Hybrid Control

A Data-Driven Approach for Certifying Asymptotic Stability and Cost Evaluation for Hybrid Systems

Carlos A. Montenegro G., Santiago J. Leudo, and Ricardo G. Sanfelice

University of California, Santa Cruz, USA

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Motivation

Control Theory + Learning

Quadruped Robot. Mutiple time domains.

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- ▶ Synthesis of Lyapunov(-like) functions for dynamical systems is complex
- ▶ Existing numerical methods only apply to limited classes of systems (to certify formal guarantees)
- ▶ Challenges: Hybrid systems pose additional challenges due to interaction of discrete and continuous dynamics

Motivation

Control Theory + Learning

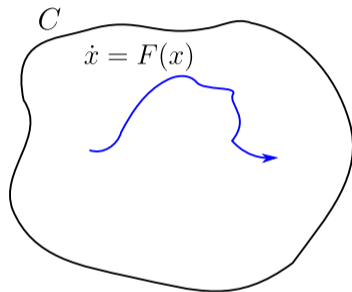
Quadruped Robot. Multiple time domains.

- ▶ Synthesis of Lyapunov(-like) functions for dynamical systems is complex

Thus, we propose a **learning-based approach** to **certify stability** for systems with such **complex dynamics**.

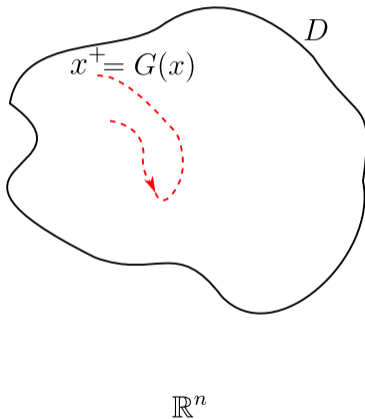
discrete and continuous dynamics

Modeling Hybrid Dynamics

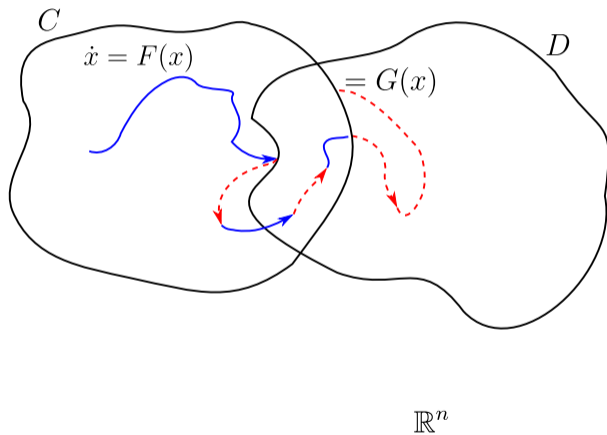


\mathbb{R}^n

Modeling Hybrid Dynamics



Modeling Hybrid Dynamics



Hybrid Systems

A hybrid system \mathcal{H} with state x as in [Goebel, et.al., PUP 2012]:

$$\mathcal{H} \begin{cases} \dot{x} & = F(x) & x \in C \\ x^+ & = G(x) & x \in D \end{cases}$$

- ▶ C is the *flow set*
- ▶ F is the *flow map*

- ▶ D is the *jump set*
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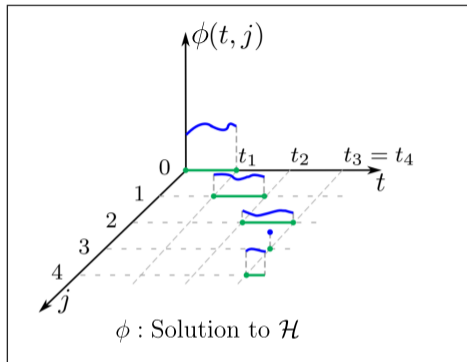
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Connections to Other Frameworks

Switched Systems

$$\dot{x} = f_{\sigma(t)}(x)$$
$$\sigma(t) \in \{1, 2, \dots\}$$

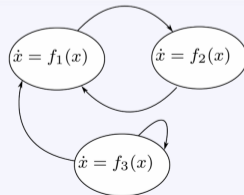
Impulsive Systems

$$\dot{x} = f(x(t))$$
$$x(t^+) = g(x(t)) \quad t \in \{t_1, t_2, \dots\}$$

Differential-Algebraic Equations

$$\dot{x} = f(x, w)$$
$$0 = \eta(x, w)$$

Hybrid Automata



Connections to Other Frameworks

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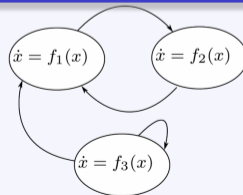
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To the best of our knowledge, there does not exist previous work to synthesize **Lyapunov functions** based on **learning methods** for **hybrid systems** modeled in such framework.

$$\dot{x} = f(x, w)$$
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Data-Driven Stability Certificates

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- ▶ Extending Lyapunov conditions from samples
- ▶ Application to an oscillator with impacts

Stability for Hybrid Systems

Pre-asymptotic stability (pAS)

Given a hybrid system $\mathcal{H} = (C, F, D, G)$, a nonempty set $\mathcal{A} \subset \mathbb{R}^n$ is said to be

- ▶ **stable** for \mathcal{H} if, for each $\epsilon > 0$, there exists $\delta > 0$ such that

$$|\phi(0,0)|_{\mathcal{A}} \leq \delta \implies |\phi(t,j)|_{\mathcal{A}} \leq \epsilon \quad \forall (t,j) \in \text{dom } \phi$$

for each solution ϕ to \mathcal{H} ;

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for each solution ϕ to \mathcal{H} ;

- ▶ **pre-attractive (pA)** for \mathcal{H} if there exists $\ell > 0$ such that every solution ϕ to \mathcal{H} with

$$|\phi(0, 0)|_{\mathcal{A}} \leq \ell$$

is such that $(t, j) \mapsto |\phi(t, j)|_{\mathcal{A}}$ is bounded and if ϕ is complete $\lim_{\substack{(t, j) \in \text{dom } \phi \\ t+j \rightarrow \infty}} |\phi(t, j)|_{\mathcal{A}} = 0$;

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- ▶ **pre-asymptotically stable (pAS)** for \mathcal{H} if it is stable and pre-attractive for \mathcal{H} .

Stability for Hybrid Systems

Theorem. Sufficient Lyapunov conditions for pre-asymptotic stability

Consider

- ▶ A set $\mathcal{U} \subset \mathbb{R}^n$ and a compact set $\mathcal{A} \subset \mathbb{R}^n$,
- ▶ a function $V : \text{dom } V \rightarrow \mathbb{R}$ defining a Lyapunov function candidate on \mathcal{U} with respect to \mathcal{A} for a system \mathcal{H} .

If \mathcal{H} satisfies the hybrid basic conditions, $V \in \mathcal{PD}(\mathcal{A})^1$, and

$$\begin{aligned} \langle \nabla V(x), F(x) \rangle &< 0 \quad \forall x \in (C \cap \mathcal{U}) \setminus \mathcal{A} \\ V(G(x)) - V(x) &< 0 \quad \forall x \in (D \cap \mathcal{U}) \setminus \mathcal{A} \end{aligned}$$

then \mathcal{A} is pAS for \mathcal{H} .

¹We say that a function $g : \text{dom } g \rightarrow \mathbb{R}_{\geq 0}$ is positive definite with respect to a set K , also written as $g \in \mathcal{PD}(K)$, if $g(x) = 0$ for any $x \in \text{dom } g \cap K$ and $g(x) > 0$ for any $x \in \text{dom } g \setminus K$.

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Hybrid basic conditions:

- ▶ C and D are closed sets of \mathbb{R}^n
- ▶ F is a single-valued continuous map defined on C
- ▶ G is a single-valued continuous map defined on D

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Learning-based Lyapunov Functions

Modeling Lyapunov functions using function approximators has been studied:

- ▶ Through **feature maps**² $x \mapsto \eta(x) := [\eta_1(x), \dots, \eta_\ell(x)]^\top \in \mathbb{R}^\ell$,

$$\widehat{V}_\theta(x) := \sum_{j=1}^{\ell} \theta_j \eta_j(x) = \langle \theta, \eta(x) \rangle$$

²Beard, Saridis, and Wen, 'Galerkin approximations of the generalized Hamilton-Jacobi-Bellman equation', 1997.

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- ▶ Stacking generalized linear models (GLMs) yields a **neural network**³, described by the following recursive equations

$$x^0 = x, \quad x^{k+1} = \varphi(W^k x^k + b^k), \quad k \in \{0, \dots, \ell - 1\}, \quad \widehat{V}_\theta(x) = W^\ell x^\ell + b^\ell$$

where $\theta = (W^\ell, b^\ell)$ and $z \mapsto \varphi(z)$ denotes the **activation function**.

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Learning-based Lyapunov Functions

We define the notion of **complexity of a function**. E.g., every finite dimensional reproducing kernel Hilbert space (RKHS) \mathcal{H}_K can be described as

$$f(x) = \langle \kappa(\cdot, x), f(\cdot) \rangle_{\mathcal{H}_K} \quad \forall x \in \mathcal{X}, \forall f \in \mathcal{H}_K$$

where, for all $x, x' \in \mathcal{X}$,

$$\kappa(x, x') = \langle \eta(x), \eta(x') \rangle$$

Then, it follows

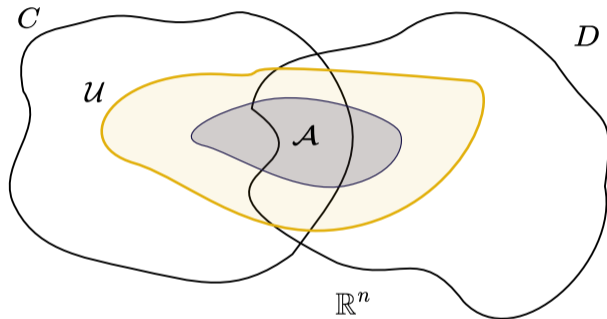
$$\|f\|_{\mathcal{H}_K}^2 := \langle f, f \rangle_{\mathcal{H}_K}$$

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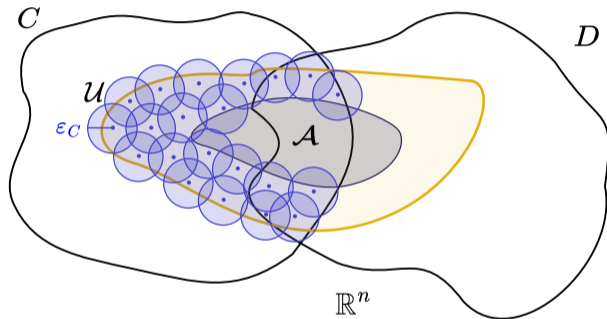
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Coverings via ε -nets



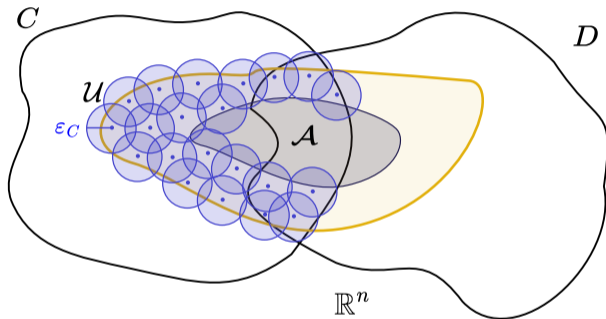
Coverings via ε -nets

$$\mathcal{F}_C := \left\{ \text{centers of } \begin{array}{c} \text{---} \\ \circ \\ \text{---} \\ \cdot \\ \text{---} \end{array} \right\}$$



Coverings via ε -nets

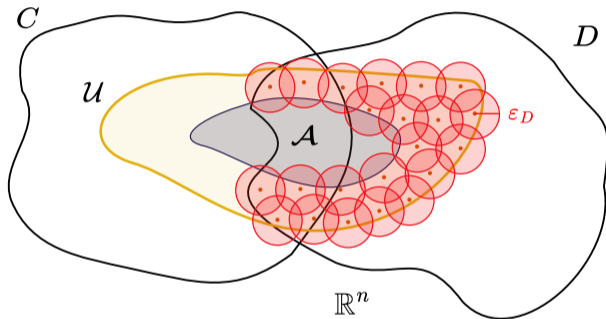
$$\mathcal{F}_C := \left\{ \text{centers of } \textcircled{\cdot} \right\}$$



$$(C \cap U) \setminus A \subseteq \bigcup_{x' \in \mathcal{F}_C} x' + \varepsilon_C \mathbb{B}$$

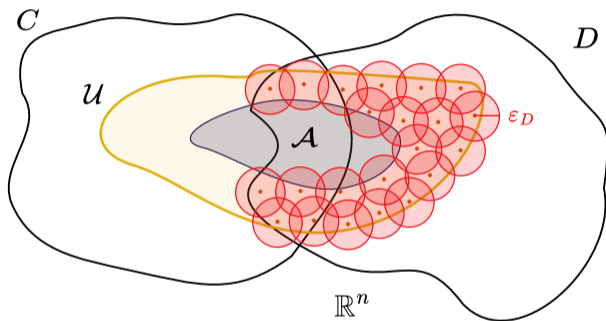
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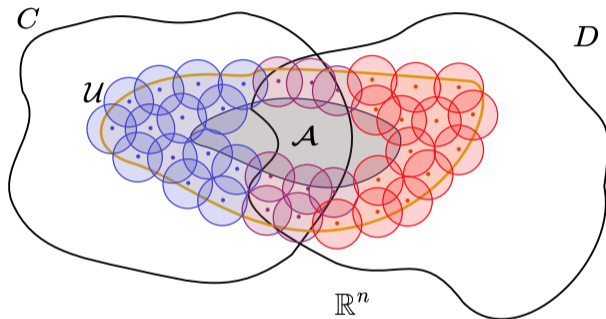


$$(D \cap U) \setminus A \subseteq \bigcup_{x' \in \mathcal{F}_D} x' + \varepsilon_D \mathbb{B}$$

Coverings via ε -nets

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Learning-based Lyapunov Functions

Optimization Problem for Lyapunov Functions

Robust Program (RP)

$$\underset{\theta}{\text{minimize}} \quad \|\hat{V}_\theta\|_{\mathcal{H}_K}^2$$

$$\text{subject to} \quad \dot{\hat{V}}_\theta \left(\text{blue blob} \right) < 0 \quad \text{along flows}$$

$$\Delta \hat{V}_\theta \left(\text{red blob} \right) < 0 \quad \text{along jumps}$$

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Scenario Program (SP)

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$$\underset{\theta}{\text{minimize}} \quad \|\hat{V}_\theta\|_{\mathcal{H}_K}^2$$

$$\text{subject to} \quad \dot{\hat{V}}_\theta \left(\text{region with sampled points} \right) < 0$$

$$\Delta \hat{V}_\theta \left(\text{region with sampled points} \right) < 0$$

- Does solving the SP guarantee Lyapunov constraints satisfaction for points that were not sampled?

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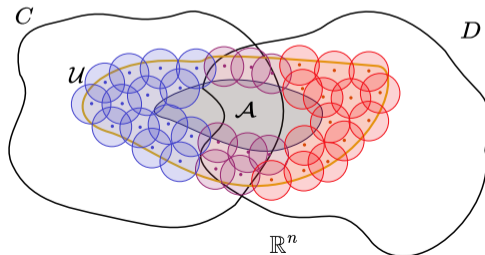
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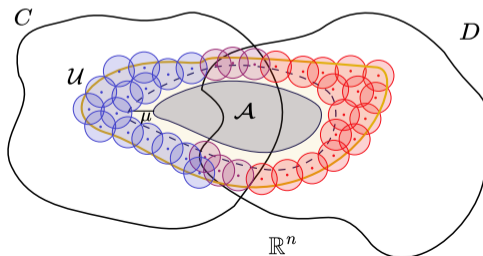
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Scenario Program (SP)

$$\begin{aligned} & \underset{\theta \in \mathbb{R}^r}{\text{minimize}} && \|\theta\|_2 \\ & \text{s.t.} && \dot{\hat{V}}_{\theta}(x') < -\tau_C \quad \forall x' \in \mathcal{F}_C \setminus (\mathcal{A} + \mu\mathbb{B}), \\ & && \Delta \hat{V}_{\theta}(x') < -\tau_D \quad \forall x' \in \mathcal{F}_D \setminus (\mathcal{A} + \mu\mathbb{B}) \end{aligned}$$



Learning-based Lyapunov Functions

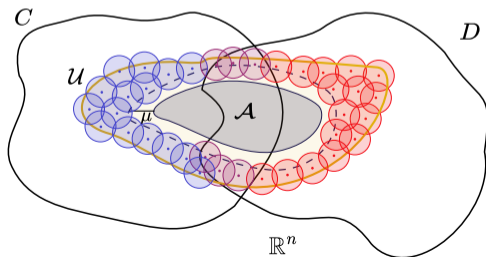
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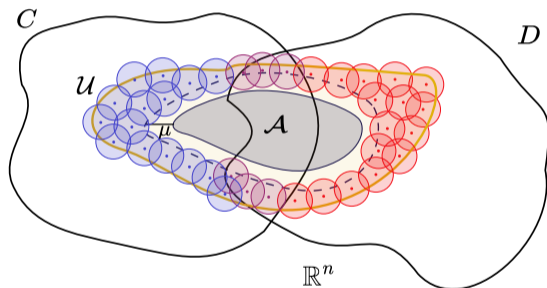
Slack variables.

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Extending Lyapunov Conditions from Samples

- ▶ **Goal:** Generalize from sample data to compact set \mathcal{U} .
- ▶ **Prerequisites:** $\varepsilon > 0$ defining \mathcal{F}_C and \mathcal{F}_D as ε -nets over $\star \cap \mathcal{U}$, $\star \in \{C, D\}$
- ▶ **Approach:** Choose τ_C and τ_D such that constraints hold at all points in $(\star \cap \mathcal{U}) \setminus (\mathcal{A} + \mu\mathbb{B})$, $\star \in \{C, D\}$

$$\begin{aligned}\hat{V}_\theta(x') &< -\tau_C & \forall x' \in \mathcal{F}_C \setminus (\mathcal{A} + \mu\mathbb{B}), \\ \Delta \hat{V}_\theta(x') &< -\tau_D & \forall x' \in \mathcal{F}_D \setminus (\mathcal{A} + \mu\mathbb{B})\end{aligned}$$

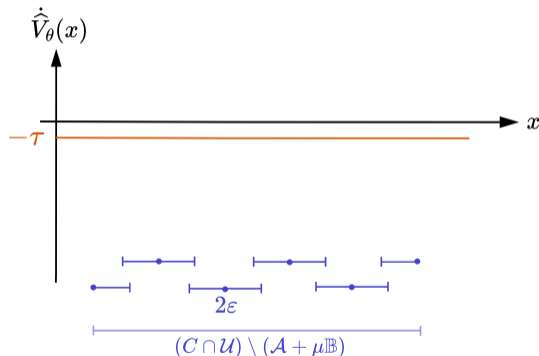
Extending Lyapunov Conditions from Samples

- ▶ **Goal:** Generalize from sample data to compact set \mathcal{U} .
- ▶ **Prerequisites:** $\varepsilon > 0$ defining \mathcal{F}_C and \mathcal{F}_D as ε -nets over $\star \cap \mathcal{U}$, $\star \in \{C, D\}$
- ▶ **Approach:** Choose τ_C and τ_D such that constraints hold at all points in $(\star \cap \mathcal{U}) \setminus (\mathcal{A} + \mu\mathbb{B})$, $\star \in \{C, D\}$

$$\begin{aligned}\hat{V}_\theta(x) &< 0 & \forall x \in (C \cap \mathcal{U}) \setminus (\mathcal{A} + \mu\mathbb{B}), \\ \Delta \hat{V}_\theta(x) &< 0 & \forall x \in (D \cap \mathcal{U}) \setminus (\mathcal{A} + \mu\mathbb{B})\end{aligned}$$

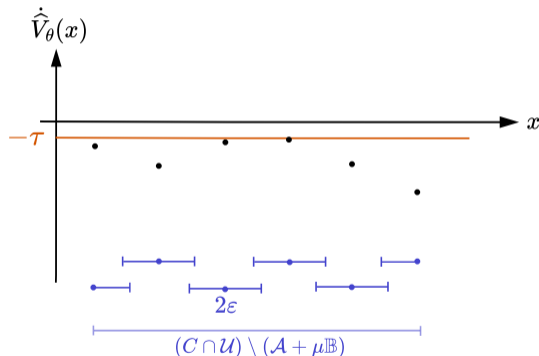
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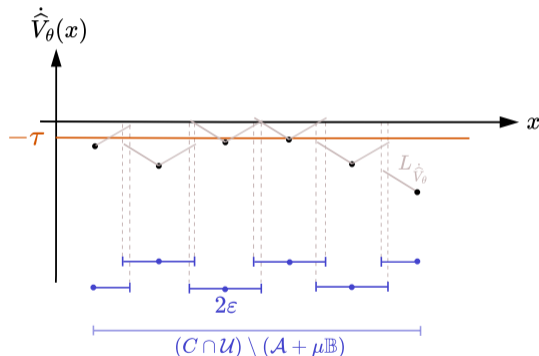
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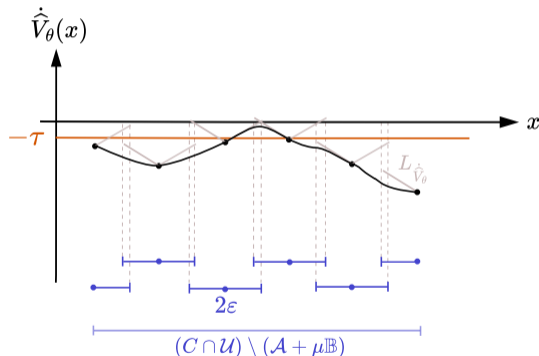
Extending Lyapunov Conditions from Samples

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Extending Lyapunov Conditions from Samples

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Extending Lyapunov Conditions from Samples

Lipschitz Continuity

Consider

- ▶ the function \widehat{V}_θ defined as a **neural network** with d layers and network parameter θ ,
- ▶ a hybrid system $\mathcal{H} = (C, F, D, G)$, and
- ▶ a compact set $\mathcal{U} \subset \mathbb{R}^n$ (sample set).

Extending Lyapunov Conditions from Samples

Lipschitz Continuity

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Lemma. Lipschitz continuity of the Lyapunov function \widehat{V}_θ

If the activation function φ is L_φ -Lipschitz continuous, then \widehat{V}_θ is $L_{\widehat{V}_\theta}$ -Lipschitz continuous.

Extending Lyapunov Conditions from Samples

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If the activation function φ is L_φ -Lipschitz continuous, then \widehat{V}_θ is $L_{\widehat{V}_\theta}$ -Lipschitz continuous.

Lemma. Lipschitz continuity of the gradient of the Lyapunov function \widehat{V}_θ

If the activation function φ is \mathcal{C}^2 , then $\nabla \widehat{V}_\theta$ is $L_{\nabla \widehat{V}_\theta}$ -Lipschitz continuous.

Extending Lyapunov Conditions from Samples

Lipschitz Continuity

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Proposition. Lipschitz continuity of \hat{V}_θ

If

- ▶ the flow map F is L_F -Lipschitz,
- ▶ there exists $\eta_F > 0$ such that $\|F(x)\| \leq \eta_F$ for all $x \in C \cap \mathcal{U}$, and
- ▶ the activation function φ is L_φ -Lipschitz and its gradient $\nabla\varphi$ is $L_{\nabla\varphi}$ -Lipschitz,

Extending Lyapunov Conditions from Samples

Lipschitz Continuity

Consider

- ▶ the function \hat{V}_θ defined as a **neural network** with d layers and network parameter θ ,
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- ▶ the activation function φ is L_φ -Lipschitz and its gradient $\nabla\varphi$ is $L_{\nabla\varphi}$ -Lipschitz,

then, the function $\dot{\hat{V}}_\theta(x) := \langle \nabla \hat{V}_\theta(x), F(x) \rangle$ is $L_{\dot{\hat{V}}_\theta}$ -Lipschitz with $L_{\dot{\hat{V}}_\theta} := L_{\nabla \hat{V}_\theta} \eta_F + L_{\hat{V}_\theta} L_F$.

Extending Lyapunov Conditions from Samples

Main Result

Proposition. Generalized Lyapunov Conditions

Given

- ▶ compact sets $\mathcal{U} \subset \mathbb{R}^n$ (sample set) and $\mathcal{A} \subset \mathbb{R}^n$ (set to render stable),

Extending Lyapunov Conditions from Samples

Main Result

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Extending Lyapunov Conditions from Samples

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- ▶ an $L_{\hat{V}_\theta}$ -Lipschitz function \hat{V}_θ defined by a **neural network** over $(C \cup D) \cap \mathcal{U}$, and $L_{\dot{\hat{V}}_\theta}$ -Lipschitz time derivative on $C \cap \mathcal{U}$, and

Extending Lyapunov Conditions from Samples

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Extending Lyapunov Conditions from Samples

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- ▶ $\varepsilon > 0$ defining \mathcal{F}_C and \mathcal{F}_D as ε -nets over $C \cap \mathcal{U}$ and over $D \cap \mathcal{U}$, respectively,

if, for some $\tau_C > L_{\dot{\hat{V}}_\theta} \varepsilon$, $\tau_D > L_{\hat{V}_\theta} (1 + L_G) \varepsilon$, $\mu > \varepsilon$, we have

$$\begin{aligned}\dot{\hat{V}}_\theta(x') &\leq -\tau_C \quad \forall x' \in \mathcal{F}_C \setminus (\mathcal{A} + \mu\mathbb{B}), \\ \Delta \hat{V}_\theta(x') &\leq -\tau_D \quad \forall x' \in \mathcal{F}_D \setminus (\mathcal{A} + \mu\mathbb{B}),\end{aligned}$$

Extending Lyapunov Conditions from Samples

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- ▶ $\varepsilon > 0$ defining \mathcal{F}_C and \mathcal{F}_D as ε -nets over $C \cap \mathcal{U}$ and over $D \cap \mathcal{U}$, respectively,

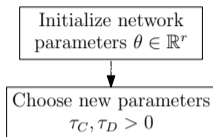
then,

$$\begin{aligned} \hat{V}_\theta(x) &< 0 & \forall x \in (C \cap \mathcal{U}) \setminus (\mathcal{A} + \mu\mathbb{B}), \\ \Delta\hat{V}_\theta(x) &< 0 & \forall x \in (D \cap \mathcal{U}) \setminus (\mathcal{A} + \mu\mathbb{B}). \end{aligned}$$

Extending Lyapunov Conditions from Samples

Bootstrap Evaluation

Iterative search for a learning-based Lyapunov function.



SP:

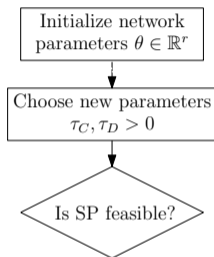
$$\underset{\theta \in \mathbb{R}^r}{\text{minimize}} \quad \|\theta\|_2$$

$$\text{s.t.} \quad \hat{V}_\theta(x') \leq -\tau_C \quad \forall x' \in \mathcal{F}_C \setminus (\mathcal{A} + \mu\mathbb{B}),$$
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Extending Lyapunov Conditions from Samples

Bootstrap Evaluation

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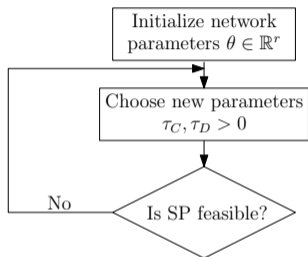


$$\begin{aligned} \text{SP:} \\ \text{minimize}_{\theta \in \mathbb{R}^r} \quad & \|\theta\|_2 \\ \text{s.t.} \quad & \hat{V}_\theta(x') \leq -\tau_C \quad \forall x' \in \mathcal{F}_C \setminus (\mathcal{A} + \mu\mathbb{B}), \\ & \Delta \hat{V}_\theta(x') \leq -\tau_D \quad \forall x' \in \mathcal{F}_D \setminus (\mathcal{A} + \mu\mathbb{B}) \end{aligned}$$

Extending Lyapunov Conditions from Samples

Bootstrap Evaluation

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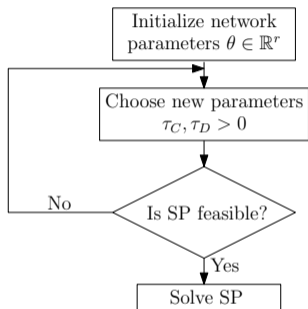
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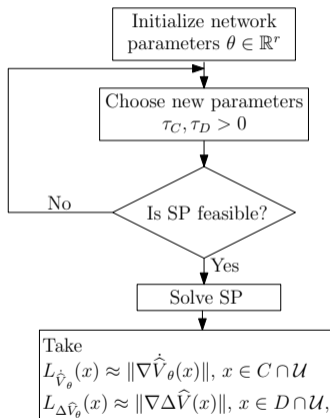
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Extending Lyapunov Conditions from Samples

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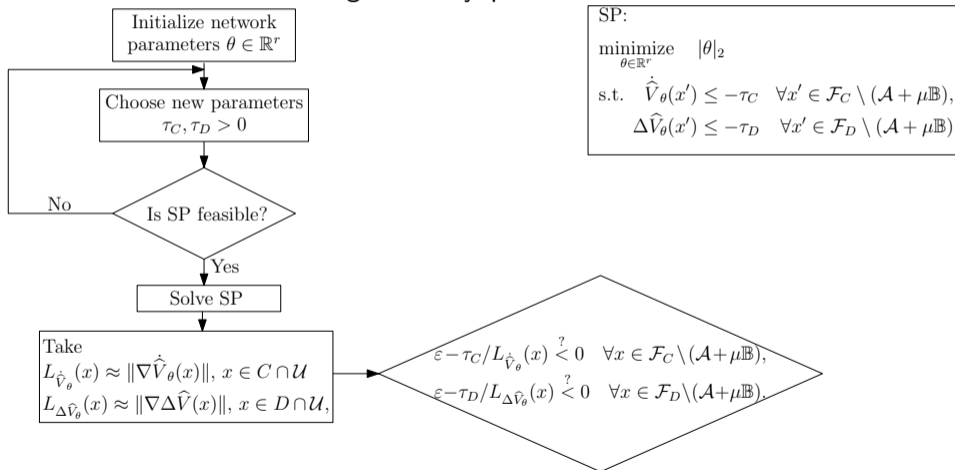
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Extending Lyapunov Conditions from Samples

Bootstrap Evaluation

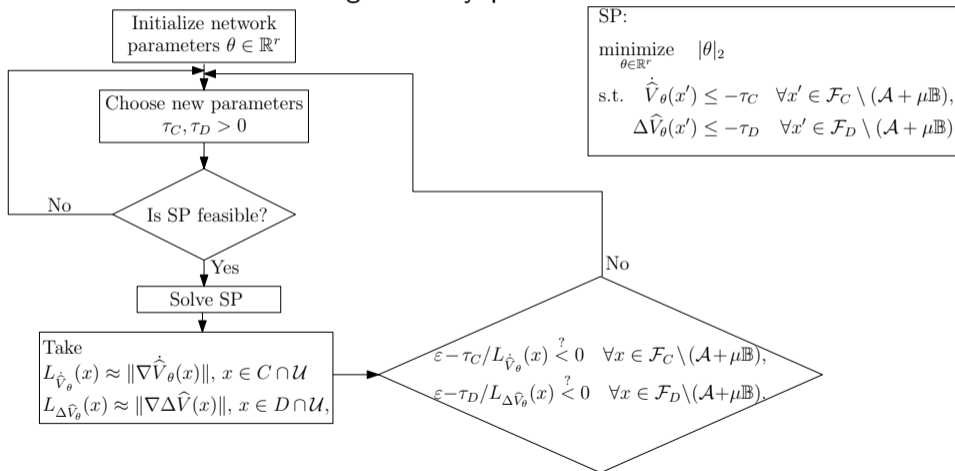
Iterative search for a learning-based Lyapunov function.



Extending Lyapunov Conditions from Samples

Bootstrap Evaluation

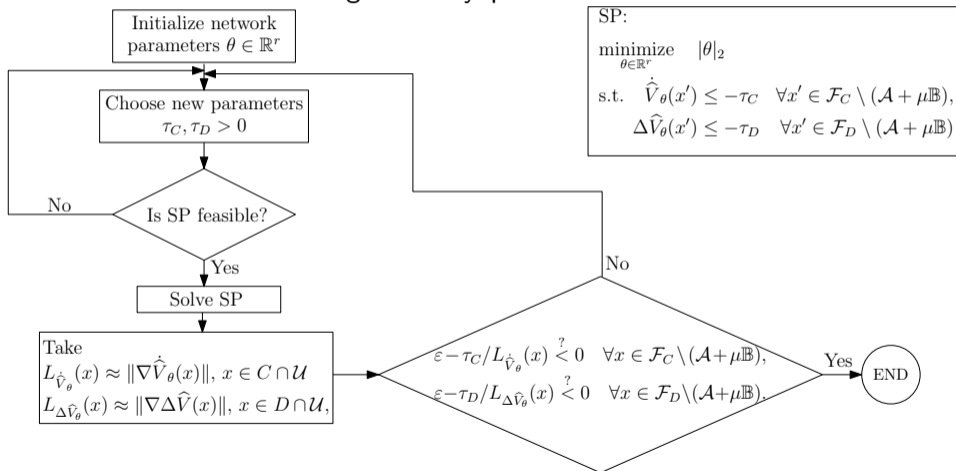
Iterative search for a learning-based Lyapunov function.



Extending Lyapunov Conditions from Samples

Bootstrap Evaluation

Iterative search for a learning-based Lyapunov function.



Learning-based Sufficient Conditions for Stability

Main Result

Theorem. Practical pre-Asymptotic Stability

Given

- ▶ compact sets $\mathcal{U} \subset \mathbb{R}^n$ (sample set) and $\mathcal{A} \subset \mathbb{R}^n$ (set to render stable),
- ▶ a hybrid system $\mathcal{H} = (C, F, D, G)$, with F locally L_F -Lipschitz on $C \cap \mathcal{U}$ and G locally L_G -Lipschitz on $D \cap \mathcal{U}$,
- ▶ $\varepsilon > 0$ defining \mathcal{F}_C and \mathcal{F}_D as ε -nets over $C \cap \mathcal{U}$ and over $D \cap \mathcal{U}$, respectively, and

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- ▶ a $L_{\hat{V}_\theta}$ -Lipschitz function \hat{V}_θ over $(C \cup D) \cap \mathcal{U}$, and $L_{\dot{\hat{V}}_\theta}$ -Lipschitz time derivative on $C \cap \mathcal{U}$, such that $\alpha_1(|x|_{\mathcal{A}}) \leq \hat{V}_\theta(x) \leq \alpha_2(|x|_{\mathcal{A}})$ on $(C \cup D) \cap \mathcal{U}$ for some $\alpha_1, \alpha_2 \in \mathcal{K}$.

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If for $\mu > \varepsilon$ and some $\tau_C > L_{\dot{\hat{V}}_\theta} \varepsilon$, $\tau_D > L_{\hat{V}_\theta} (1 + L_G) \varepsilon$, we have

$$\begin{aligned}\hat{V}_\theta(x') &\leq -\tau_C \quad \forall x' \in \mathcal{F}_C \setminus (\mathcal{A} + \mu\mathbb{B}), \\ \Delta \hat{V}_\theta(x') &\leq -\tau_D \quad \forall x' \in \mathcal{F}_D \setminus (\mathcal{A} + \mu\mathbb{B}),\end{aligned}$$

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Then, \mathcal{A} is **practically pre-asymptotically stable (PpAS)** for \mathcal{H} with respect to ε .

Learning-based Sufficient Conditions for Stability

Main Result

Theorem. Practical pre-Asymptotic Stability

Given

- ▶ compact sets $\mathcal{U} \subset \mathbb{R}^n$ (sample set) and $\mathcal{A} \subset \mathbb{R}^n$ (set to render stable),
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- ▶ $\varepsilon > 0$ defining \mathcal{F}_C and \mathcal{F}_D as ε -nets over $C \cap \mathcal{U}$ and over $D \cap \mathcal{U}$, respectively, and
- ▶ a $L_{\hat{V}_\theta}$ -Lipschitz function \hat{V}_θ over $(C \cup D) \cap \mathcal{U}$, and $L_{\dot{\hat{V}}_\theta}$ -Lipschitz time derivative on $C \cap \mathcal{U}$ such that $\alpha_1(|x|_{\mathcal{A}}) \leq \hat{V}_\theta(x) \leq \alpha_2(|x|_{\mathcal{A}})$ on $(C \cup D) \cap \mathcal{U}$ for some $\alpha_1, \alpha_2 \in \mathcal{K}$

We say that a set \mathcal{A} is **PpAS** for \mathcal{H} with respect to ε if there exists $\beta \in \mathcal{KL}$ such that each solution ϕ to \mathcal{H} from $(\bar{C} \cup D) \cap \mathcal{U}$ that stays in $(\bar{C} \cup D \cup G(D)) \cap \mathcal{U}$, satisfies

$$|\phi(t, j)_{\mathcal{A}}| \leq \beta(|\phi(0, 0)_{\mathcal{A}}|, t + j) + \mu \quad \forall (t, j) \in \text{dom } \phi.$$

Learning-based Sufficient Conditions for Stability

Main Result

Proof Sketch. Practical pre-Asymptotic Stability

Given $\mu > \varepsilon > 0$, and since for some $\tau_C > L_{\hat{V}_\theta} \varepsilon$ and $\tau_D > L_{\hat{V}_\theta} (1 + L_G) \varepsilon$, we have

$$\begin{aligned}\dot{\hat{V}}_\theta(x') &\leq -\tau_C \quad \forall x' \in \mathcal{F}_C \setminus (\mathcal{A} + \mu\mathbb{B}), \\ \Delta \hat{V}_\theta(x') &\leq -\tau_D \quad \forall x' \in \mathcal{F}_D \setminus (\mathcal{A} + \mu\mathbb{B}),\end{aligned}$$

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then, from the Proposition on *Generalized Lyapunov Conditions* we have that

$$\begin{aligned}\dot{\hat{V}}_\theta(x) &< 0 \quad \forall x \in (C \cap \mathcal{U}) \setminus (\mathcal{A} + \mu\mathbb{B}), \\ \Delta \hat{V}_\theta(x) &< 0 \quad \forall x \in (D \cap \mathcal{U}) \setminus (\mathcal{A} + \mu\mathbb{B}).\end{aligned}$$

Learning-based Sufficient Conditions for Stability

Main Result

Proof Sketch. Practical pre-Asymptotic Stability

Since

$$\alpha_1(|x|_{\mathcal{A}}) \leq \widehat{V}_\theta(x) \leq \alpha_2(|x|_{\mathcal{A}}) \quad \text{for all } x \in (C \cup D) \cap \mathcal{U},$$

Learning-based Sufficient Conditions for Stability

Main Result

Proof Sketch. Practical pre-Asymptotic Stability

Since

$$\alpha_1(|x|_{\mathcal{A}}) \leq \widehat{V}_\theta(x) \leq \alpha_2(|x|_{\mathcal{A}}) \quad \text{for all } x \in (\mathcal{C} \cup \mathcal{D}) \cap \mathcal{U},$$

it can be shown that there exist $\alpha_C, \alpha_D \in \mathcal{K}$ such that

$$\dot{\widehat{V}}_\theta(x) \leq -\alpha_C(\widehat{V}_\theta(x)) \quad \text{for all } x \in (\mathcal{C} \cap \mathcal{U}) \setminus (\mathcal{A} + \mu\mathbb{B}),$$

and

$$\Delta \widehat{V}_\theta(x) \leq -\alpha_D(\widehat{V}_\theta(x)) \quad \text{for all } x \in (\mathcal{D} \cap \mathcal{U}) \setminus (\mathcal{A} + \mu\mathbb{B}).$$

Learning-based Sufficient Conditions for Stability

Main Result

Proof Sketch. Practical pre-Asymptotic Stability

Since

$$\alpha_1(|x|_{\mathcal{A}}) \leq \widehat{V}_\theta(x) \leq \alpha_2(|x|_{\mathcal{A}}) \quad \text{for all } x \in (C \cup D) \cap \mathcal{U},$$

it can be shown that there exist $\alpha_C, \alpha_D \in \mathcal{K}$ such that

$$\dot{\widehat{V}}_\theta(x) \leq -\alpha_C(\widehat{V}_\theta(x)) \quad \text{for all } x \in (C \cap \mathcal{U}) \setminus (\mathcal{A} + \mu\mathbb{B}),$$

and

$$\Delta \widehat{V}_\theta(x) \leq -\alpha_D(\widehat{V}_\theta(x)) \quad \text{for all } x \in (D \cap \mathcal{U}) \setminus (\mathcal{A} + \mu\mathbb{B}).$$

Define

$$x \mapsto \alpha(x) := \min\{\alpha_C(x), \alpha_D(x)\}$$

and, without loss of generality, assume it is locally Lipschitz.

Learning-based Sufficient Conditions for Stability

Main Result

Proof Sketch. Practical pre-Asymptotic Stability

Given a solution ϕ to \mathcal{H} from $((C \cup D) \cap \mathcal{U}) \setminus (\mathcal{A} + \mu\mathbb{B})$, by **the comparison principle for hybrid systems** we have that

$$\widehat{V}_\theta(\phi(t, j)) \leq \tilde{\beta} \left(\widehat{V}_\theta(\phi(0, 0)), t + j \right) \quad \text{for all } (t, j) \in \text{dom } \phi,$$

where $\tilde{\beta} \in \mathcal{KL}$.

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where $\tilde{\beta} \in \mathcal{KL}$. This, together with

$$\alpha_1(|x|_{\mathcal{A} + \mu\mathbb{B}}) < \alpha_1(|x|_{\mathcal{A}}) \leq \widehat{V}_\theta(x) \leq \alpha_2(|x|_{\mathcal{A}}) \quad \text{for all } x \in ((C \cup D) \cap \mathcal{U}) \setminus (\mathcal{A} + \mu\mathbb{B}).$$

implies that

$$\alpha_1(|\phi(t, j)|_{\mathcal{A} + \mu\mathbb{B}}) < \widehat{V}_\theta(\phi(t, j)) \leq \tilde{\beta} \left(\widehat{V}_\theta(\phi(0, 0)), t + j \right) \leq \tilde{\beta} \left(\alpha_2(|\phi(0, 0)|_{\mathcal{A}}), t + j \right).$$

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Consequently,

$$|\phi(t, j)|_{(\mathcal{A} + \mu\mathbb{B})} \leq \alpha_1^{-1} \left(\tilde{\beta}(\alpha_2(|\phi(0, 0)|_{\mathcal{A}}), t + j) \right)$$

where $(r, t + j) \mapsto \alpha_1^{-1} \left(\tilde{\beta}(\alpha_2(r), t + j) \right) \in \mathcal{KL}$.

Learning-based Sufficient Conditions for Stability

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$$|x|_{\mathcal{A}} = |x|_{(\mathcal{A} + \mu\mathbb{B})} + \mu \quad \text{for any } x \in ((C \cup D) \cap \mathcal{U}) \setminus (\mathcal{A} + \mu\mathbb{B}).$$

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Then, the desired \mathcal{KL} bound follows:

$$|\phi(t, j)|_{\mathcal{A}} = |\phi(t, j)|_{(\mathcal{A} + \mu\mathbb{B})} + \mu \leq \alpha^{-1} \left(\tilde{\beta}(\alpha_2(|\phi(0, 0)|_{\mathcal{A}}), t + j) \right) + \mu$$

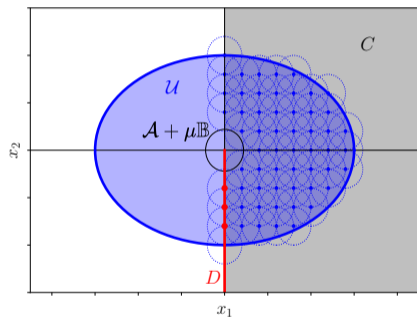
for every $(t, j) \in \text{dom } \phi$. □

Example: Oscillator with Impacts

$$\mathcal{H} \begin{cases} (\dot{x}_1, \dot{x}_2) = (x_2, -x_1 - \lambda_C x_2) & x_1 \geq 0 \\ (x_1^+, x_2^+) = (0, \lambda_D x_2) & x_1 = 0 \text{ and } x_2 \leq 0 \end{cases}$$

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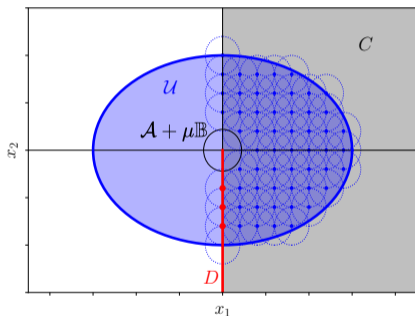
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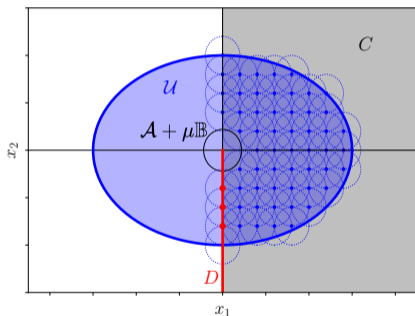
► Sampling set

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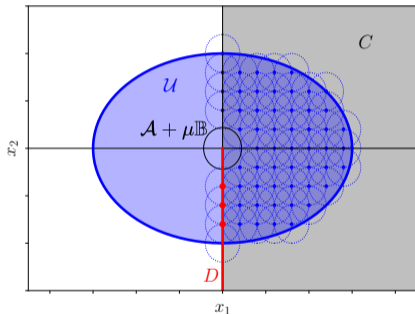
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We enforce conditions at the centers of the balls, and generalize them to every point in $((C \cup D) \cap \mathcal{U}) \setminus (\mathcal{A} + \mu\mathbb{B})$.

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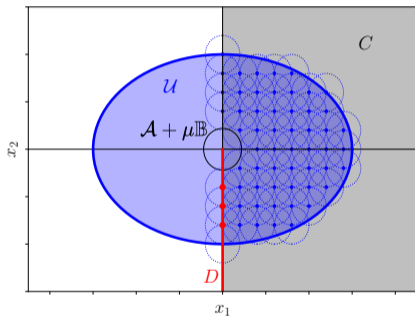
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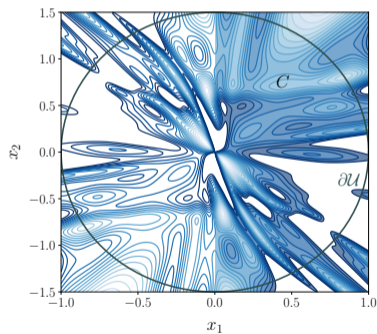
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► We guarantee practical asymptotic stability of \mathcal{A} for \mathcal{H} with respect to ε .

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$$\varepsilon_C = 0.01$$

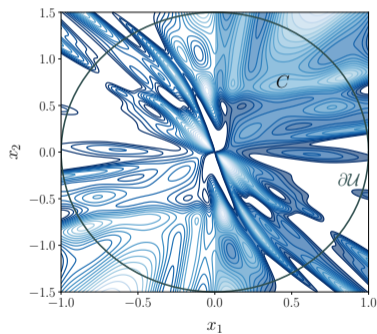


$$\tau_C = 0.015, L_{\hat{V}_\theta} = 4.482$$

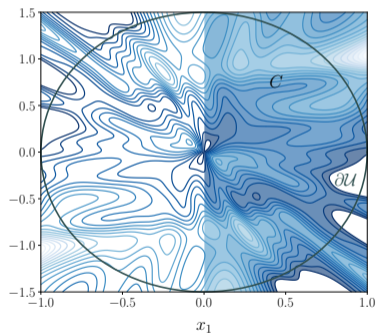
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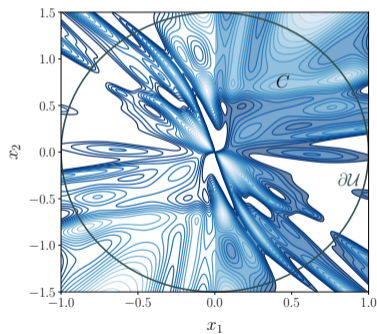


$$\tau_C = 0.028, L_{\hat{V}_\theta} = 3.862$$

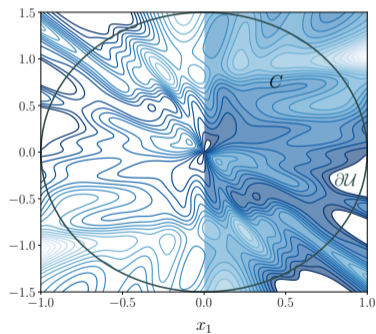
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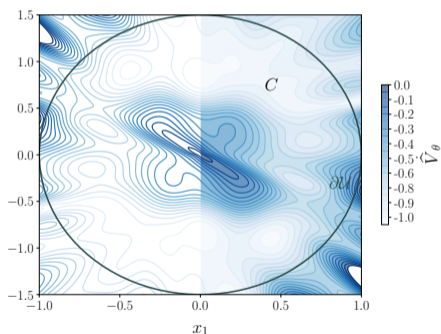
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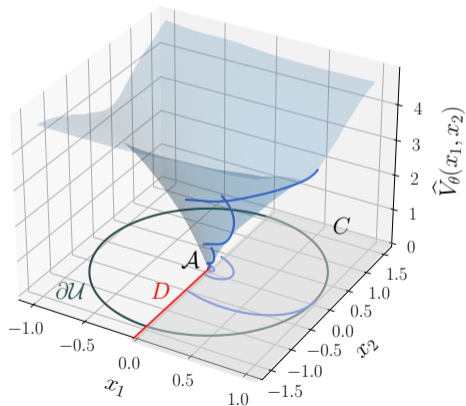
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$$\tau_C = 0.037, L_{\dot{\hat{V}}_\theta} = 1.110$$

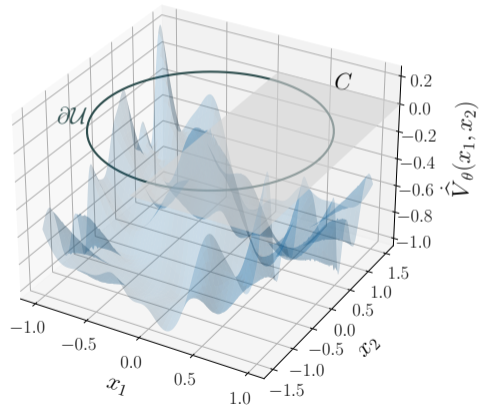
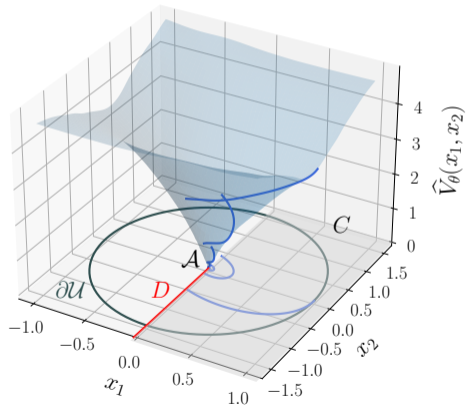
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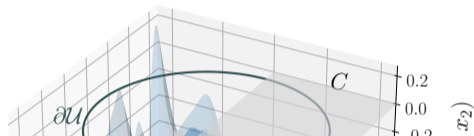
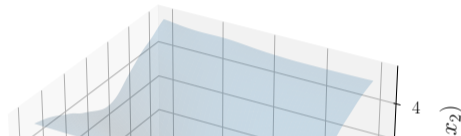
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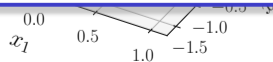
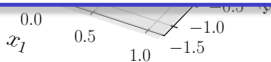


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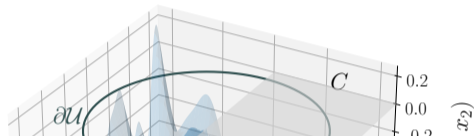


- ▶ Specific structure of a neural network that is positive definite with respect to $\mathcal{A} = \{0\}$ on $(C \cup D) \cap \mathcal{U}$.

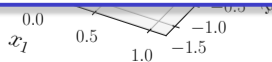
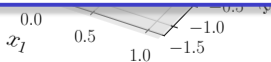


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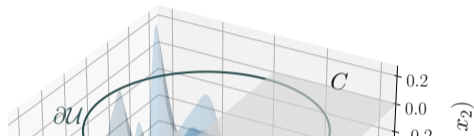


- ▶ Specific structure of a neural network that is positive definite with respect to $\mathcal{A} = \{0\}$ on $(C \cup D) \cap \mathcal{U}$.
- ▶ We solve the SP using JAX. Augmented Lagrangian to account for constraints.

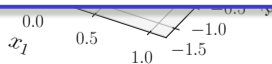
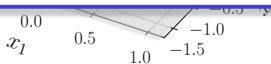


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- ▶ We apply bootstrap evaluation.



Conclusions and Future Work

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- ▶ Future work: Evaluating different data-driven methods to learn the Lyapunov and value functions, and an extension to hybrid inclusions.

Acknowledgements

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- ▶ the National Science Foundation under Grant no. CNS-2039054 and Grant no. CNS-2111688,
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- ▶ the Air Force Research Laboratory under Grant no. FA8651-22-1-0017 and Grant no. FA8651-23-1-0004,
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- ▶ and the Department of Defense Grant no. W911NF-23-1-0158.